

STATEMENT UNDER 37 CFR 3.73(b)

Applicant/Patent Owner: International Business Machines Corporation

Application No./Patent No.: 09/972,310

Filed/Issue Date: October 5, 2001

Entitled: STORAGE AREA NETWORK METHODS AND APPARATUS WITH EVENT NOTIFICATION CONFLICT RESOLUTION

International Business Machines Corporation

Corporation

(Name of Assignee)

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of less than the entire right, title and interest.
The extent (by percentage) of its ownership interest is _____%

in the patent application/patent identified above by virtue of either:

- A. ☒ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel 012817, Frame 0755, or for which a copy thereof is attached.

OR

- B. ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

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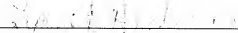
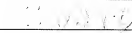
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☐ Additional documents in the chain of title are listed on a supplemental sheet.

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[NOTE: A separate copy (i.e., a true copy of the original assignment document(s)) must be submitted to: Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO]

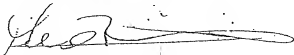
The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

Signature:		Date:	
Name	Lynne D. Anderson	Telephone Number	703-299-1455
Title	Program Manager - U.S. Patent and Trademark Office Liaison		

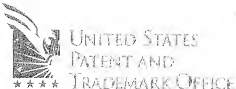
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I, Gerald Rosenthal, Vice President, Intellectual Property and Licensing of International Business Machines Corporation (IBM), a New York corporation, do hereby delegate the authority to approve and execute documents on behalf of IBM relating to proceedings in the Patent, Trademark Registration or Copyright Offices servicing any country or region of the world, or to related appeal proceedings, including, but not limited to: petitions; powers of Attorney; authorizations; verification; nominations of representatives; declarations; documents relating to maintenance and defense of the resulting industrial property rights; assignments of rights to apply for and acquire patents and trademark registrations; and evidence of such assignments; requests for the registration of patents as available for licensing; reports of inventions and petitions for waiver of patent rights to any department or agency of the United States Government; and, assignments, licenses and other instruments confirmatory of Government rights in patents and inventions, to Lynne D. Anderson, Program Manager, Patent Office Liaison.

Date: April 6, 2004



Gerald Rosenthal
Vice President, Intellectual Property & Licensing
International Business Machines Corporation



JUNE 21, 2002

PTAS

Chief Information Officer
Washington, DC 20231
www.uspto.gov

NUTTER, MCCLENNEN & FISH
DAVID J. POWSNER
ONE INTERNATIONAL PLACE
BOSTON, MA 02110-2699



102068542A

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RECORDATION DATE: 04/16/2002

REEL/FRAME: 012817/0755
NUMBER OF PAGES: 5

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

AKBERG, GARY THOMAS

DOC DATE: 01/10/2002

ASSIGNOR:

HEITMAN, ALLEN ROBERT

DOC DATE: 01/10/2002

ASSIGNOR:

KNIGHT, GREGORY JOHN

DOC DATE: 12/15/2001

ASSIGNOR:

MEREACH, DAVID LYNN

DOC DATE: 01/10/2002

ASSIGNOR:

YONKER, WILLIAM ROY

DOC DATE: 12/17/2001

ASSIGNEE:

INTERNATIONAL BUSINESS MACHINES
CORPORATION
NEW ORCHARD ROAD
ARMONK, NEW YORK 10504

012817/0755 PAGE 2

SERIAL NUMBER: 09972310
PATENT NUMBER:

FILING DATE: 10/04/2001
ISSUE DATE:

KIMBERLY WHITE, EXAMINER
ASSIGNMENT DIVISION
OFFICE OF PUBLIC RECORDS

04-26-2002



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102068542

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Name (line 2)

Second Party

- Execution Date
Month Day Year
Name (line 1) Allen Robert Heitman 01102002
Name (line 2)

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- ☐ Mark if additional names of receiving parties attached

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Name (line 2)
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Address (line 2)
Address (line 3) Armonk New York 10504
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PATENT

Conveying Party(ies)

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Enter additional Conveying Parties

Name (line 1) Gregory John Knight

Execution Date
Month Day Year

12152001

Name (line 2)

Execution Date
Month Day Year

01102002

Name (line 1) David Lynn Merbach

Name (line 2)

Execution Date
Month Day Year

12172001

Name (line 1) William Roy Yonker

Name (line 2)

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Patent Application Number(s)

Patent Number(s)

ASSIGNMENT

Whereas, we

(1) Gary Thomas Axberg of 1709 Ivory Avenue N., Lake Elmo,
County of Washington and State of Minnesota,
and (2) Allen Robert Heitman of 40 Sandy Point Court NE, Rochester,
County of Olmsted and State of Minnesota,
and (3) Gregory John Knight of 2724 91st Crescent N, Brooklyn Park,
County of Hennepin and State of Minnesota,
and (4) David Lynn Merbach of 3426 ^{18th} Ave N.W., Rochester, 1618-21st Ave NE, Rochester, MN 55901,
County of Olmsted and State of Minnesota,
and (5) William Roy Yonker of 11235 11th Avenue N.E., Rochester,
County of Olmsted and State of Minnesota,

have invented certain improvements in

STORAGE AREA NETWORK METHODS AND APPARATUS WITH EVENT NOTIFICATION CONFLICT
RESOLUTION

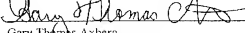
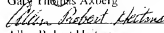
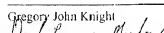
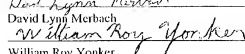
and executed, respectively, a United States patent application therefor on

(1) Jan. 10, 2002 (2) Jan. 10, 2002 (3) _____, 2001, (4) Jan. 10, 2002
(5) Dec. 17, 2001

Whereas, INTERNATIONAL BUSINESS MACHINES CORPORATION, a corporation of New York, having a place of business at Armonk, New York 10504, (hereinafter called IBM), desired to acquire the entire right, title and interest in the said application and invention, and to any United States and foreign patents to be obtained therefor;

Now therefore, for a valuable consideration, receipt whereof is hereby acknowledged, we, the above named, hereby sell, assign, and transfer to IBM, its successors and assigned, the entire right, title and interest in the said application and invention therein disclosed for the United States and foreign countries, and all rights of priority resulting from the filing of said United States application, and we request the Commissioner of Patents to issue any Letters Patent granted upon the inventions set forth in said application to IBM, its successors and assigns; and we hereby agree that IBM may apply for foreign Letters Patent on said invention and we will execute all papers necessary in connection with the United States and foreign applications when called upon to do so by IBM.

Signed and sealed

(1) at <u>Rochester, MN</u>		Signature
on <u>1/10</u> , 2002	Gary Thomas Axberg	Inventor
(2) at <u>Rochester, MN</u>		Signature
on <u>1-10</u> , 2002	Allen Robert Heitman	Inventor
(3) at _____	_____	Signature
on _____, 2001.	Gregory John Knight	Inventor
(4) at <u>Rochester, MN</u>		Signature
on <u>1/10</u> , 2001	David Lynn Merbach	Inventor
(5) at <u>Rochester, MN</u>		Signature
on <u>12/17/01</u> , 2001.	William Roy Yonker	Inventor

ASSIGNMENT

Whereas, we

(1) Gary Thomas Axberg of 1709 Ivory Avenue N., Lake Elmo,
County of Washington and State of Minnesota,
and (2) Allen Robert Heitman of 40 Sandy Point Court NE, Rochester,
County of Olmsted and State of Minnesota,
and (3) Gregory John Knight of 2724 91st Crescent N, Brooklyn Park,
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and (4) David Lynn Merbach of 3426 - 9th Ave N.W., Rochester,
County of Olmsted and State of Minnesota,
and (5) William Roy Yonker of 11235 11th Avenue N.E., Rochester,
County of Olmsted and State of Minnesota,

have invented certain improvements in

STORAGE AREA NETWORK METHODS AND APPARATUS WITH EVENT NOTIFICATION CONFLICT
RESOLUTION

and executed, respectively, a United States patent application therefor on

(1) _____, 2001, (2) _____, 2001, (3) 12-15-, 2001, (4) _____, 2001,
(5) _____, 2001

Whereas, INTERNATIONAL BUSINESS MACHINES CORPORATION, a corporation of New York, having a place of business at Armonk, New York 10504, (hereinafter called IBM), desired to acquire the entire right, title and interest in the said application and invention, and to any United States and foreign patents to be obtained therefor;

Now therefore, for a valuable consideration, receipt whereof is hereby acknowledged, we, the above named, hereby sell, assign, and transfer to IBM, its successors and assigned, the entire right, title and interest in the said application and invention therein disclosed for the United States and foreign countries, and all rights of priority resulting from the filing of said United States application, and we request the Commissioner of Patents to issue any Letters Patent granted upon the inventions set forth in said application to IBM, its successors and assigns; and we hereby agree that IBM may apply for foreign Letters Patent on said invention and we will execute all papers necessary in connection with the United States and foreign applications when called upon to do so by IBM.

Signed and sealed

(1) at _____	_____	Signature
on _____, 2001.	Gary Thomas Axberg	Inventor
(2) at _____	_____	Signature
on <u>12-15-</u> , 2001.	Allen Robert Heitman	Inventor
(3) at <u>11-00 per B. J. Y.</u>	<u>Gregory John Knight</u>	Signature
on <u>12-15-</u> , 2001. (19)	Gregory John Knight	Inventor
(4) at _____	_____	Signature
on _____, 2001.	David Lynn Merbach	Inventor
(5) at _____	_____	Signature
on _____, 2001.	William Roy Yonker	Inventor